



COFL

PTO/SB/21 (04-07)

Approved for use through 09/30/2007. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

7

Application Number	09/900,503
Filing Date	07/06/2001
First Named Inventor	Hu
Art Unit	3732
Examiner Name	Gart, Matthew S.
Attorney Docket Number	GUID-012CON

### ENCLOSURES (Check all that apply)

- |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Petition for Certificate of Correction (4 pgs.)<br>Certificate of Correction (2 pgs.)<br>Post Card |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

Remarks

**Certificate  
JUN 27 2007  
of Correction**

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Law Office of Alan W. Cannon
Signature	
Printed name	Alan W. Cannon
Date	6/21/07
Reg. No.	34,977

### CERTIFICATE OF TRANSMISSION/MAILING

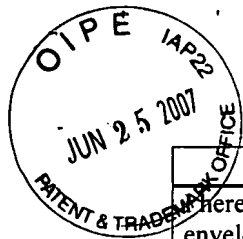
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	
Typed or printed name	Maria J. Sousa
Date	6/21/07

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**JUN 27 2007**



### CERTIFICATE OF MAILING

Hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or Printed Name Maria J. Sousa

Signature [Signature]

Date 6/21/07

<b>PETITION FOR CERTIFICATE OF CORRECTION</b>  Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket Number	GUID-012CON
	First Named Inventor	Hu
	Issued Patent Number	7,220,228
	Issued Date	May 22, 2007
	Application Number	09/900,503
	Filing Date	07/06/2001
	Title	Surgical Retractor Blade and System

Sir:

Transmitted herewith for filing is a Certificate of Correction for the above-identified patent, requesting the following changes:

Column 32, line 1 (Claim 1, line 6), please delete "mechanism" and insert therefore – mechanism,--;

Column 32, line 29 (Claim 8, line 2), please delete "attached" and insert therefore – attach--;

Column 32, line 34 (Claim 8, line 7), please delete "have a" and insert therefore –have an--;

Column 32, line 35 (Claim 8, line 8), please delete "fixed end a" and insert therefore – fixed end and--;

Column 32, line 35 (Claim 8, line 8), please delete "engaging" and insert therefore –said free end engaging--;

Column 32, line 42 (Claim 10, line 2), please delete "based" and insert therefore –biased-  
-;

Column 32, line 46 (Claim 11, line 2), please delete "reactor" and insert therefore –  
retractor--;

Column 32, line 52 (Claim 11, line 7), please delete "first slots" and insert therefore –first slot--;

JUN 27 2007

JUN 27 2007

JUN 27 2007

Column 32, line 53 (Claim 11, line 8), please delete “third slots” and insert therefore – slot--;

Column 33, line 29 (Claim 23, line 1), please delete “blade, said retractor blade” and insert therefore –blade--;

Column 33, line 30 (Claim 23, line 2), please delete “a body” and insert therefore –an elongated body--;

Column 33, line 30 (Claim 23, line 2), please delete “a fist” and insert therefore –a first--;

Column 33, line 30 (Claim 23, line 2), please delete “to attached” and insert therefore –to be releasably attached--;

Column 33, line 31 (Claim 23, line 3), please delete “separate, complete” and insert therefore –retractor--;

Column 33, line 31 (Claim 23, line 3), please delete “mechanism,” and insert therefore – mechanism--;

Column 33, line 33 (Claim 23, line 5), please delete “into body” and insert therefore –into said body--;

Column 33, line 33 (Claim 23, line 5), please delete “extending from said first end”;

Column 33, line 34 (Claim 23, line 6), please delete “into said body, said second opening”;

Column 33, line 35 (Claim 23, line 7), please delete “a second” and insert therefore –an--;

Column 33, line 35 (Claim 23, line 7), please delete “mechanism” and insert therefore – mechanism, and a second opening extended from said first end into said body, said second opening configured for receiving a second extension from the retractor driving mechanism.--;

Column 33, line 43 (Claim 25, line 3), please delete “direction” and insert therefore –a direction--;

Column 33, line 50 (Claim 27, line 3), please delete “in direction” and insert therefore –in a direction--;

Column 33, line 55 (Claim 29, line 2), please delete “portion, and” and insert therefore – portion and--;

Column 33, line 55 (Claim 29, line 20), please delete “portion having” and insert therefore –portion, said bottom portion having--;

Column 34, line 12 (Claim 33, line 2), please delete “flaps” and insert therefore –flap--;

JUN 27 2007

Column 34, line 16 (Claim 35, line 1), please delete “blade, said retractor blade” and insert therefore –blade--;

Column 34, line 17 (Claim 35, line 2), please delete “a body” and insert therefore –an elongated body--;

Column 34, line 17 (Claim 35, line 2), please delete “end, second” and insert therefore –end, a second--;

Column 34, line 28 (Claim 37, line 2), please delete “slots” and insert therefore –slot--;

Column 34, line 28 (Claim 37, line 2), please delete “a internal” and insert therefore –an internal--;

Column 34, line 35 (Claim 38, line 3), please delete “driving” and insert therefore –drive--;

Column 34, line 40 (Claim 38, line 8), please delete “extension,” and insert therefore –extensions,--;

Column 34, line 44 (Claim 38, line 12), please delete “a elongated” and insert therefore –an elongated--;


Column 34, line 48 (Claim 38, line 16), please delete “close” and insert therefore –closed--.

It is believed that no fee is due since the errors were made by the Patent and Trademark Office.

**JUN 27 2007**

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.20 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-2653, Order No. GUID-012CON.

Respectfully submitted,  
LAW OFFICE OF ALAN W. CANNON

By:  6/21/07  
Alan W. Cannon  
Registration No. 34,977

LAW OFFICE OF ALAN W. CANNON  
942 Mesa Oak Court  
Sunnyvale, CA. 94086  
Telephone: (408) 736-3554  
Facsimile: (408) 736-3564

JUN 27 2007

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 2

PATENT NO. : 7,220,228  
APPLICATION NO.: 09/900,503  
ISSUE DATE : May 22, 2007  
INVENTOR(S) : Hu et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 32, line 1 (Claim 1, line 6), please delete "mechanism" and insert therefore --mechanism--;  
Column 32, line 29 (Claim 8, line 2), please delete "attached" and insert therefore --attach--;  
Column 32, line 34 (Claim 8, line 7), please delete "have a" and insert therefore --have an--;  
Column 32, line 35 (Claim 8, line 8), please delete "fixed end a" and insert therefore --fixed end and--;  
Column 32, line 35 (Claim 8, line 8), please delete "engaging" and insert therefore --said free end engaging--;  
Column 32, line 42 (Claim 10, line 2), please delete "based" and insert therefore --biased--;  
Column 32, line 46 (Claim 11, line 2), please delete "reactor" and insert therefore --retractor--;  
Column 32, line 52 (Claim 11, line 7), please delete "first slots" and insert therefore --first slot--;  
Column 32, line 53 (Claim 11, line 8), please delete "third slots" and insert therefore --slot--;  
Column 33, line 29 (Claim 23, line 1), please delete "blade, said retractor blade" and insert therefore --blade--;  
Column 33, line 30 (Claim 23, line 2), please delete "a body" and insert therefore --an elongated body--;  
Column 33, line 30 (Claim 23, line 2), please delete "a fist" and insert therefore --a first--;  
Column 33, line 30 (Claim 23, line 2), please delete "to attached" and insert therefore --to be releasably attached--;  
Column 33, line 31 (Claim 23, line 3), please delete "separate, complete" and insert therefore --retractor--;  
Column 33, line 31 (Claim 23, line 3), please delete "mechanism," and insert therefore --mechanism--;  
Column 33, line 33 (Claim 23, line 5), please delete "into body" and insert therefore --into said body--;  
Column 33, line 33 (Claim 23, line 5), please delete "extending from said first end";  
Column 33, line 34 (Claim 23, line 6), please delete "into said body, said second opening";  
Column 33, line 35 (Claim 23, line 7), please delete "a second" and insert therefore --an--;  
Column 33, line 35 (Claim 23, line 7), please delete "mechanism" and insert therefore --mechanism, and a second opening extended from said first end into said body, said second opening configured for receiving a second extension from the retractor driving mechanism.--;  
Column 33, line 43 (Claim 25, line 3), please delete "direction" and insert therefore --a direction--;  
Column 33, line 50 (Claim 27, line 3), please delete "in direction" and insert therefore --in a direction--;  
Column 33, line 55 (Claim 29, line 2), please delete "portion, and" and insert therefore --portion and--;  
Column 33, line 55 (Claim 29, line 20), please delete "portion having" and insert therefore --portion, said bottom portion having--;  
Column 34, line 12 (Claim 33, line 2), please delete "flaps" and insert therefore --flap--;

MAILING ADDRESS OF SENDER (Please do not use customer number below):

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

**JUN 27 2007**

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 2 of 2

PATENT NO. : 7,220,228  
APPLICATION NO.: 09/900,503  
ISSUE DATE : May 22, 2007  
INVENTOR(S) : Hu et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 34, line 16 (Claim 35, line 1), please delete "blade, said retractor blade" and insert therefore --blade--;  
Column 34, line 17 (Claim 35, line 2), please delete "a body" and insert therefore --an elongated body--;  
Column 34, line 17 (Claim 35, line 2), please delete "end, second" and insert therefore --end, a second--;  
Column 34, line 28 (Claim 37, line 2), please delete "slots" and insert therefore --slot--;  
Column 34, line 28 (Claim 37, line 2), please delete "a internal" and insert therefore --an internal--;  
Column 34, line 35 (Claim 38, line 3), please delete "driving" and insert therefore --drive--;  
Column 34, line 40 (Claim 38, line 8), please delete "extension," and insert therefore --extensions,--;  
Column 34, line 44 (Claim 38, line 12), please delete "a elongated" and insert therefore --an elongated--;  
Column 34, line 48 (Claim 38, line 16), please delete "close" and insert therefore --closed--.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

**JUN 27 2007**